



Original Article

The modified shuttle test as a predictor of risk for hospitalization in youths with cystic fibrosis: A two-year follow-up study



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ABSTRACT

Background: Patients with cystic fibrosis (CF) present exercise intolerance and episodes of pulmonary exacerbations. This study aimed to evaluate the association of the distance covered on the modified shuttle test (MST), as well as other clinical variables (anthropometry, chronic colonization by *Pseudomonas aeruginosa*, lung function), with the risk of hospitalization for pulmonary exacerbation.

Methods: Cohort study including CF patients older than 6 years, from two specialized CF centers. All patients underwent a MST and a lung function test at the time of inclusion. Demographic, anthropometric and clinical data were collected. Free time until the first hospitalization, total days of hospitalization and use of antibiotics during the two years of follow-up were recorded.

Results: Sixty-seven patients with a mean (SD) age of 12.4 (5.2) years and forced expiratory volume in the first second (FEV₁) of 78.7% (22.4) were included. The mean distance covered (m) in the MST was 775.6 (255.7) (73.4 ± 19.5% of predicted). The distance achieved (MST) was considered as the main independent variable to predict the risk of hospitalization (Cox HR 0.97, *p* = 0.029). Patients who walked a distance of less than 80% of predicted in the MST showed an increase of 3.9 (95%CI 1.0–15.3) in the relative risk for hospitalization and significantly higher total number of days of hospitalization (*p* = 0.022).

Conclusion: There is an association between the distance covered in the MST and the risk of hospitalization in youths with CF. Patients with reduced exercise capacity presented a 3.9 times increase in the relative risk for hospitalization due to pulmonary exacerbation.

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1. Introduction

Cystic fibrosis (CF) is a multisystemic disease characterized by progressive decline in lung function and exercise tolerance, with episodes of acute worsening of respiratory symptoms, named pulmonary exacerbations [1]. Although there is no consensus for a single definition, the clinical characteristics of exacerbations include increased cough and sputum production, fever, weight loss, dyspnea, decreased lung function and decreased exercise tolerance

[2,3]. Milder events are commonly treated with oral antibiotics (ATB) on an outpatient basis, but hospitalization with the use of intravenous antibiotics is often necessary in several cases [4].

Studies have shown that frequent exacerbations negatively influence quality of life, prognosis and accelerate the decline in lung function, in addition to being associated with increased morbidity and mortality in these patients [5,6]. Recent evidence has shown that functional capacity, as assessed by the six-minute walk test (6MWT), is an independent predictor of the risk for hospitalization due to exacerbation, as patients with CF who walked the shortest distance on the test had a higher risk of hospitalization in the following years [7].

However, despite the fact that the 6MWT is widely used in clinical practice, it is considered a submaximal test and may not

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be sensitive to detect changes in patients with low severity [8]. Thus, the gold standard test for assessing exercise capacity in patients with CF is the cardiopulmonary exercise test (CPET). Studies have shown an association between maximum oxygen consumption (VO_2 peak) and both hospitalization due to acute respiratory exacerbations in children with mild to moderately severe CF [9] as well as with the risk of mortality [10], considering that CPET provides additional prognostic information to established predictors of death/lung transplantation [11]. However, CPET is not yet available in many CF centers due to the need for high-cost equipment and highly trained staff. Thus, the modified shuttle test (MST) consists of an alternative, considering that it was previously validated for CF [12,13], presents an incremental load protocol [12] and its main outcome (distance achieved on the test) is strongly correlated with oxygen consumption, as measured through CPET [14].

Therefore, considering the importance of pulmonary exacerbations and hospitalization in patients with CF and the need for low-cost and easy-to-use monitoring alternatives, the objective of this study was to assess the association of exercise capacity, assessed through the distance achieved in the MST, as well as other clinical variables (anthropometry, chronic colonization *Pseudomonas aeruginosa* [PA], lung function), with the risk of hospitalization for pulmonary exacerbation in youths with CF. Our working hypothesis was that a shorter distance achieved in the MST would be associated with a greater risk of hospitalization due to pulmonary exacerbation. Additionally, we have also evaluated if the association of exercise performance and the risk for hospitalization would be distinct between boys and girls.

2. Methods

A prospective cohort study was carried out in patients with CF of both sexes. Inclusion criteria were: (i) diagnosis of CF confirmed by genetic testing, (ii) age above six years old, and (iii) regular follow-up at two specialized CF centers between the years 2016 and 2019. Patients were excluded if signs of hemodynamic instability (altered blood pressure or heart rate responses), exacerbation of respiratory symptoms in the past 30 days (increased cough and expectorated sputum, change in secretion, decreased pulmonary function by more than 10%) and/or osteo-articular or musculoskeletal changes that would interfere in the performance of the tests were found. The study was approved by the Research Ethics Committee of both reference centers, under the numbers 52583416.5.0000.5336 and 54142716.8.3001.5119. All legal guardians and patients over 18 years of age signed an informed consent form and children and adolescents up to 18 years of age signed an assent form.

All patients were submitted to a lung function test and a MST at the time of inclusion (regular clinic visit). In addition, demographic (age and sex) anthropometric (weight, height, body mass index), clinical (chronic colonization by PA) and genetic (genotyping) data were collected. Chronic colonization by PA was defined as the persistent presence of the bacterium in the oropharynx swab or sputum culture for at least six months or in 3 consecutive collections [15]. The patients were followed for a period of two years from the inclusion in the study. At the end of the second year, anthropometric and lung function data were again collected.

The total number of days of hospitalization and use of antibiotics was recorded over the two years of follow-up of the study. Only hospitalizations for acute pulmonary exacerbations were considered. Pulmonary exacerbations were determined according to criteria previously defined by Bilton et al. [16] Free time (days) for the first hospitalization for treatment of pulmonary exacerbation was defined as the average time between the date of inclusion of the patient in the study and the date of the first hospitalization.

Anthropometric data were measured in triplicate. Weight measurement was performed in orthostasis, using a digital scale (G-tech, Glass 1 FW, Rio de Janeiro, Brazil) previously calibrated with an accuracy of 100 gs. Height was obtained with a portable stadiometer (AlturaExata, TBW, São Paulo, Brazil), accurate to 1 mm, and the participants were barefoot [17]. The body mass index (BMI) was calculated and expressed in kg/m^2 .

The evaluation of lung function was performed through spirometry using a KOKO spirometer (Louisville, CO, USA), following the criteria established by the American Thoracic Society - European Respiratory Society ATS/ERS [18]. The parameters evaluated were forced vital capacity (FVC), forced expiratory volume in the first second (FEV_1), FEV_1/FVC ratio and forced expiratory flow between 25 and 75% of FVC ($FEF_{25-75\%}$). The data were presented in absolute values and percentage of predicted based on an international reference equation [19].

The MST used in this study was the one described by Bradley et al. [12], which has 15 levels and patients must walk or run, with increasing speed, in a 10 meter course delimited by two cones. An audio signal, representing the change in level, as well as the increase in the speed, is an integral part of the test. During the first minute of testing, patients were accompanied by a physical therapist to adapt to the rhythm of the audio signal. The test started with an average speed of $0.5 \text{ m}\cdot\text{sec}^{-1}$ (level 1), followed by an increase of $0.17 \text{ m}\cdot\text{sec}^{-1}$ in each next level. The test was concluded when participants reported inability to continue due to exhaustion, failed to reach the cone in the rhythm of the audio signal for 2 consecutive times, reached the maximum distance of 1500 m or presented an oxygen saturation (SpO_2) below 75%. Before the start of the test and immediately at the end, heart rate (HR), SpO_2 (Nonin®, Minneapolis, USA), blood pressure (BIC sphygmomanometer, Itupeva, Brazil) and the modified BORG scale score for dyspnea were measured. In addition, HR and SpO_2 were monitored throughout the test. The distance achieved in the test was calculated by counting the total number of shuttles and expressed in meters and percent of predicted [20]. The VO_2 peak was estimated using the formula $VO_2 \text{ (mL}\cdot\text{kg}^{-1}\cdot\text{min}^{-1}) = 20.301 + 0.019 \times \text{MST distance (meters)}$ [14].

2.1. Statistical analysis

The normality of the data was assessed using the Kolmogorov-Smirnov test. Continuous variables were presented as mean and standard deviation (SD) or median and interquartile range (IR), according to normality. Categorical variables were presented in absolute and relative frequencies. Comparisons of variables between the beginning of the study and after two years were performed with the Student t-test for paired samples. The comparison between patients who covered a distance in the MST $<80\%$ and $\geq 80\%$ of the predicted was performed using the Mann-Whitney U test. A receiver operating characteristic (ROC) curve was also used and the area under its curve calculated. For the univariate analysis of Cox proportional hazards regression, the dependent variable was the time (days) required for the first hospitalization, measured from the inclusion of patients in the study. The independent variables included in the model were age, BMI, sex (male), chronic colonization by PA, genotyping (F508del homozygous), FEV_1 (%), FVC (%), $FEF_{25-75\%}$ (%) and the distance achieved in the MST (% of predicted). Subsequently, a multivariate analysis of Cox proportional hazards regression was performed, with the time required for the first hospitalization as a dependent variable and including as independent variables those significantly ($p < 0.05$) associated with the dependent variable in the univariate model. In addition, age and BMI were also entered in the model, as they are variables considered to be important risk factors in CF. Cox hazard ratio of each variable was determined for free time (days) until the first hos-

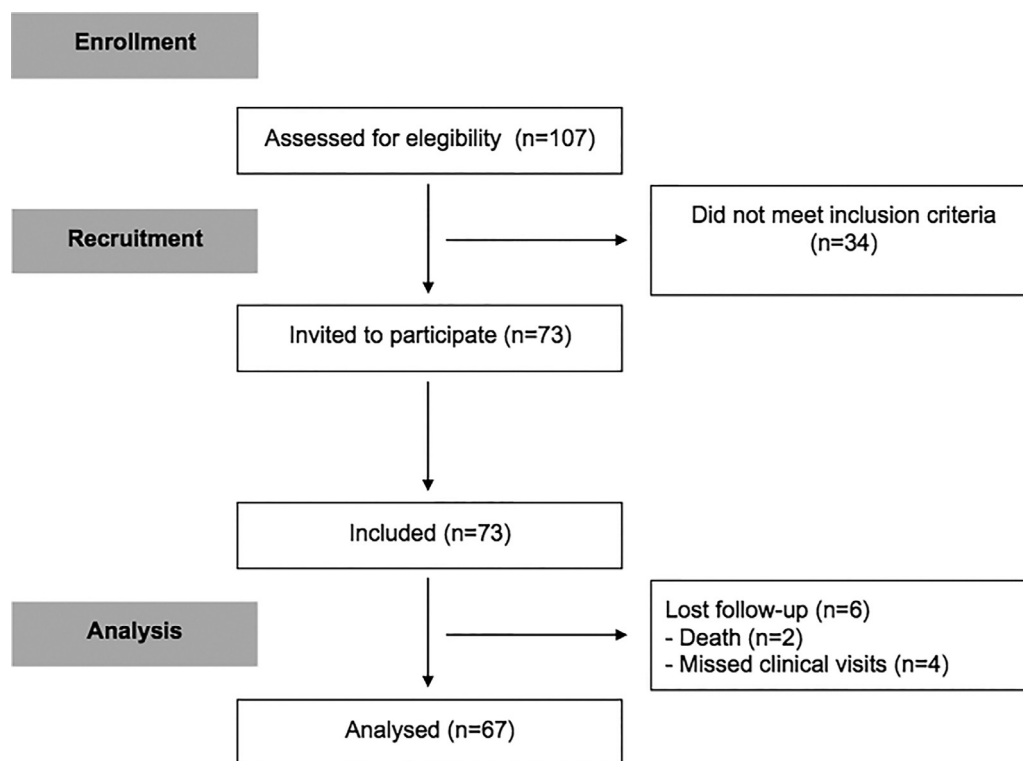


Fig. 1. Flow diagram of study selection.

pitalization. All analyses and data processing were performed in the SPSS version 18.0 (SPSS Inc., USA) and the level of significance adopted was $p < 0.05$.

3. Results

Of a total of 107 patients with CF available in both centers, 73 met the eligibility criteria for recruitment and were included in the study. However, 2 (2.7%) patients died during the two-year follow-up and 4 (5.5%) did not attend outpatient consultations during that period. Thus, the analyzes are presented for a total of 67 patients. Fig. 1 shows the study flowchart.

The mean (SD) age at the time of inclusion in the study was 12.4 (5.2) years, 65.7% were male and 58.2% presented a F508del heterozygous mutation. Table 1 shows the characteristics of the sample regarding demographic, anthropometric and clinical information at inclusion in the study and after two years of follow-up. Significant differences were found in the anthropometric and lung function variables.

Regarding exercise capacity evaluated by the MST, the mean (SD) level reached was 10.6 (2.0) and the mean (SD) distance reached was 775.6 (255.7) meters, corresponding to 73.4 (19.5)% of the predicted. In addition, the mean estimated VO_2peak was 35.0 (4.8) $\text{mL}\cdot\text{kg}^{-1}\cdot\text{min}^{-1}$ and the HR at the end of the test was 178.0 (16.5) $\text{beats}\cdot\text{min}^{-1}$. The physiological variables assessed at rest and at the end of the MST are shown in Table 2.

Of the 67 patients, 58 used antibiotics during the two-year follow-up and 19 required hospitalization during the same period. The median (IR) time to first hospitalization was 232 (53–410) days. Table 3 shows the results of the univariate and multivariate analysis of Cox proportional hazards regression. The variables associated with the risk of a first hospitalization in the univariate analysis were chronic colonization by PA (Cox HR 0.32; $p = 0.022$), FEV_1 in % of predicted (Cox HR 0.95; $p < 0.001$), FVC in % of predicted (Cox HR 0.95; $p < 0.001$), $\text{FEF}_{25-75\%}$ in % of predicted (Cox HR

0.97; $p < 0.001$) and the distance achieved in the MST in % of predicted (Cox HR 0.95; $p = 0.001$). As for the multivariate regression model, the only independent variable associated with a greatest risk for the first hospitalization was the distance achieved in the MST in % of predicted (Cox HR 0.97; $p = 0.029$), indicating that patients with worse exercise capacity had higher risk of hospitalization in the follow-up period. In addition, patients who walked a distance in the MST of less than 80% of predicted, had a higher risk of hospitalization during the two years of follow-up (relative risk 3.9; 95%CI 1.0–15.3). In addition, Cox proportional hazards regression was also performed separately for boys and girls (Supplemental File 1). Although significance was not reached, boys with a reduced exercise capacity presented a relative risk for hospitalization of 4.5 (95%CI 0.7 – 31.9) and girls of 3.2 (95%CI 0.4 – 23.2).

Fig. 2 shows the comparison of the total days of hospitalization and days of use of ATB according to the percentage of the predicted distance achieved in the MST. Patients who covered a distance of less than 80% in the MST had a significantly higher total days of hospitalization ($p = 0.022$) compared to individuals with a distance $\geq 80\%$. A ROC curve was also generated in order to evaluate the performance of the distance achieved (% of the predicted) in the MST on predicting hospitalization (Fig. 3). The results have shown an area under the curve of 0.755 (95%CI 0.627 – 0.884) with a p -value of 0.001.

4. Discussion

The results of the present study showed that there is an association between the distance achieved in the MST and the risk of hospitalization for pulmonary exacerbation in youths with CF. Patients who walked less than 80% of the distance presented 3.9 times more risk of hospitalization during the two-year follow-up period.

The periods of pulmonary exacerbations are frequent in patients with CF and are associated with progression to end-stage

Table 1
Clinical characteristics of the study sample in the follow-up period.

Variables	n = 67		p-value
	Year 1	Year 2	
<i>Demographics</i>			
Age (years)		12.4 ± 5.2	–
Male, n (%)		44 (65.7)	–
<i>Anthropometrics</i>			
Weight (kg)	40.6 ± 16.0	45.3 ± 14.8	<0.001
Weight (z-score)	–0.4 ± 1.2	–0.6 ± 1.4	0.002
Height (cm)	146.2 ± 17.9	153.9 ± 15.0	<0.001
Height (z-score)	–0.3 ± 1.2	–0.4 ± 1.2	0.063
BMI (kg/m ²)	18.2 ± 3.5	18.6 ± 3.2	0.010
BMI (z-score)	–0.2 ± 1.1	–0.4 ± 1.2	0.007
<i>Airway Colonization</i>			
Chronic Pseudomonas aeruginosa, n (%)		11 (16.2)	–
Staphylococcus aureus, n (%)		48 (70.6)	–
Haemophilus influenzae		6 (8.8)	–
Burkholderia cepacea		3 (4.4)	–
<i>Diabetes</i>			
Yes, n (%)		8 (11.9)	–
<i>Genotyping</i>			
F508del Homozygous, n (%)		16 (23.9)	–
F508del Heterozygous, n (%)		39 (58.2)	–
Other mutations, n (%)		12 (17.9)	–
<i>Pulmonary function</i>			
FEV ₁ (% predicted)	78.7 ± 22.4	73.7 ± 25.2	0.006
FEV ₁ (z-score)	–1.8 ± 1.8	–2.2 ± 2.1	0.006
FVC (% predicted)	85.5 ± 19.4	81.6 ± 23.1	0.023
FVC (z-score)	–1.3 ± 1.7	–1.6 ± 2.0	0.018
FEV ₁ /FVC (% predicted)	90.8 ± 12.9	88.2 ± 13.1	0.030
FEV ₁ /FVC (z-score)	–1.0 ± 1.5	–1.3 ± 1.4	0.034
FEF _{25–75%} (% predicted)	66.0 ± 31.0	59.0 ± 32.0	0.008
FEF _{25–75%} (z-score)	–1.8 ± 1.7	–2.3 ± 1.9	0.001

Results presented as mean and standard deviation, except for gender, chronic airway colonization and genotyping – n (%). p-value refers to the Student t-test for paired samples. BMI: body mass index. FEV₁: forced expiratory volume in one second, FVC: forced vital capacity, FEF_{25–75%}: forced expiratory flow at 25–75% of forced vital capacity.

Table 2
Physiological responses to the modified shuttle test (MST).

Variables evaluated	n = 67
<i>Resting</i>	
Heart rate (beats·min ^{–1})	93.1 ± 14.7
Systolic blood pressure (mmHg)	99.6 ± 14.9
Diastolic blood pressure (mmHg)	62.9 ± 9.5
SpO ₂ (%)	96.7 ± 1.9
Breathing frequency (cpm)	20.9 ± 4.3
Borg for dyspnea	0.1 ± 0.3
<i>Peak exercise</i>	
MST level	10.6 ± 2.0
MST distance (m)	775.6 ± 255.7
MST distance (% predicted)	73.4 ± 19.5
VO ₂ estimated (mL·kg ^{–1} ·min ^{–1})	35.0 ± 4.8
Heart rate (beats·min ^{–1})	178.0 ± 16.5
Systolic blood pressure (mmHg)	119.8 ± 24.9
Diastolic blood pressure (mmHg)	69.0 ± 9.7
SpO ₂ (%)	93.7 ± 3.6
Breathing frequency (cpm)	35.8 ± 6.8
Borg for dyspnea	5.7 ± 2.8

MST: modified shuttle test; VO₂: oxygen consumption; SpO₂: peripheral oxygen saturation. cpm: cycles per minute; m: meters; kg: kilograms; mL: milliliters. Values expressed as mean ± standard deviation.

Table 3
Cox regression analysis of factors related to hospitalization.

Variables	Cox hazard ratio	95%CI	p-value
<i>Univariate Cox regression</i>			
<i>Clinical information</i>			
Age	1.04	0.96 - 1.12	0.374
Sex, male	0.87	0.33 - 2.29	0.776
BMI	0.99	0.87 - 1.13	0.935
Chronic Pseudomonas	0.32	0.12 - 0.85	0.022
Genotype, F508del homozygous	1.29	0.43 - 3.88	0.654
<i>Pulmonary function,% of predicted</i>			
FEV ₁	0.95	0.93 - 0.97	<0.001
FVC	0.95	0.92 - 0.97	<0.001
FEF _{25–75%}	0.97	0.95 - 0.98	<0.001
<i>Modified Shuttle Test,% of predicted</i>			
Distance achieved	0.95	0.92 - 0.98	0.001
<i>Multivariate Cox regression</i>			
Age	0.93	0.80 - 1.07	0.296
BMI	1.19	0.95 - 1.49	0.140
Chronic Pseudomonas	0.36	0.12 - 1.10	0.074
FEV ₁ (%)	0.97	0.88 - 1.08	0.580
FVC (%)	0.99	0.91 - 1.07	0.750
FEF _{25–75%} (%)	0.99	0.95 - 1.04	0.649
Distance achieved (%)	0.97	0.94 - 0.99	0.029

BMI: body index mass; FEV₁: forced expiratory volume in one second; FVC: forced vital capacity; FEF_{25–75%}: forced expiratory flow at 25–75% of forced vital capacity; CI: confidence interval. Significant hazard ratios appear in bold.

lung disease and increased mortality [21]. The treatment of exacerbations usually consists of increasing the intensity of respiratory physiotherapy, as well as the use of oral or intravenous antibiotics, often requiring hospitalization [22]. Hospitalization leads to school absenteeism and interferes with social and sports activities, causing a negative impact on quality of life and increased costs related to health care [6]. According to data from the CF Foundation reg-

istry, in 2019, exacerbations requiring intravenous ATB occurred in 22% of pediatric patients and 41% of adults [23]. Studies that evaluated risk factors for exacerbation have already identified different variables, including low baseline FEV₁, chronic colonization by PA, CF-related *diabetes mellitus*, advanced age, a greater number of ex-

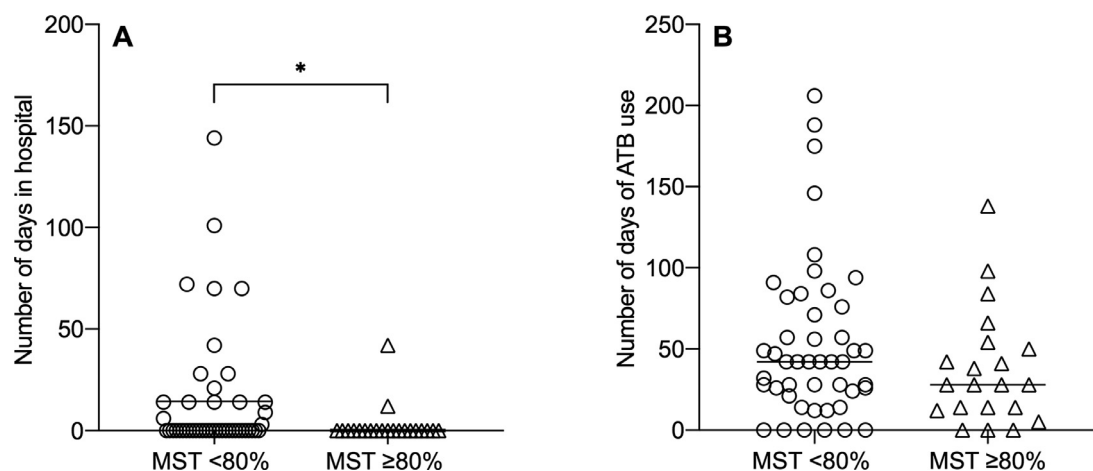


Fig. 2. Comparison of the total number of days in hospital (A) and the total number of days of antibiotics (ATB) use (B) during the two-year follow-up period between patients with the distance achieved in the modified shuttle test (MST) of less than 80% or greater/equal to 80% of predicted values. Each dot/triangle represent a patient. Straight line represents the median. *indicates a significant difference between groups as compared by the Mann-Whitney U test.

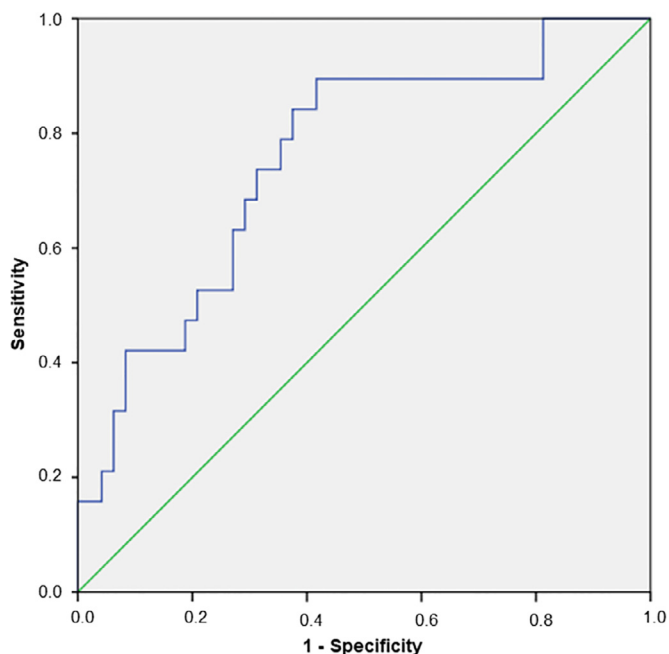


Fig. 3. Receiver operating characteristic (ROC) curve evaluating the performance of the distance achieved (% of the predicted) in the modified shuttle test (MST) on predicting hospitalization.

acerbations in the previous year, among others [24–26]. Our results showed that patients with less exercise capacity, that is, those who achieved a distance of less than 80% in the MST, had a significantly longer total of hospitalization days compared to patients with normal exercise capacity, although no differences in the total days of ATB use were found.

Evidence has already shown that exercise capacity can be an important predictor of morbidity [7,9] and mortality [10] in CF, considering that CPET provides additional prognostic information for death or lung transplantation [11], reinforcing the importance of its periodic assessment in this population. The results of the present study corroborate these findings, considering that the main variables associated with the risk of hospitalization in a univariate analysis were chronic colonization by PA, lung function and the distance achieved in the MST. However, in the multivariate regression model, the distance covered in the MST proved to be the main independent variable to predict the risk of hospitalization for pul-

monary exacerbation, supporting the important role of exercise capacity. According to the recommendations for the management of patients with CF, the assessment of exercise capacity must be performed annually, contributing to the identification of possible factors responsible for exercise intolerance and allowing an individualized prescription for the practice of physical exercise [8]. In spite of the association of oxygen consumption levels with survival [10], physical exercise has several benefits for patients with CF, including an improvement in the exercise capacity and quality of life, in addition to a reduction in the number of days of intravenous ATB use [27,28].

Previous studies had already demonstrated an association of both performance in the 6MWT [7] and the VO_2 peak [9], measured through CPET, with the risk of hospitalization in children and adolescents with CF. These results are in agreement with those obtained in the present study. However, the 6MWT is a submaximal test and CPET requires high-cost equipment and highly trained staff, reason why it is not yet available in many CF centers. To the best of our knowledge, this is the first study evaluating the MST as a predictor of hospitalization. The MST is a field test, easily-to-perform and considered as maximum test, since an incremental protocol with increasing speed is used, similar to the most common CPET protocols, which takes subjects to levels close to exhaustion [12]. In addition, the use of the MST has already been validated in CF [12,13] and studies have shown a strong correlation of the distance achieved in the MST with the VO_2 peak measured through CPET [12–14], making it an alternative for evaluation of exercise capacity in cases where performing CPET is not possible.

Our results have also shown a higher relative risk for hospitalization in boys (4.5) than in girls (3.2) with reduced distance achieved in the MST, although the multivariate analysis showed no association between exercise capacity and hospitalization, when separated by sex. Differences between sexes have been shown in previous CF studies. Kulich et al. [29] demonstrated that the relative risk for survival is significantly lower for females compared to males for all ages from 2 to 20 years and that this gender gap does not narrow over time. In addition, the onset of the progressive decline in lung function tends to occur earlier in girls [30,31], in an effect that may also be related to puberty. The effects of puberty on the influence of exercise capacity on morbidity and mortality should be considered in future studies. Cohort studies have evaluated the rate of fall in FEV_1 in children and adolescents, showing a decrease of 1.0% [32] to 2.4% [33,34] per year. In the present study, patients were followed for a period of two years and showed a decrease in all lung function values, with significant differences

between values of year one and year two, showing an annualized FEV₁ drop of 2.5%, despite the recommendation of the best treatment available. Determining the rate of decline in FEV₁ allows a better understanding of the progression of lung disease and allows the identification of factors that can delay, modify or accelerate the trajectory of lung function over time [35].

This study also presents limitations, including the mild impairment of lung function and nutritional status in the population studied, which may have interfered with the need for hospitalization. In addition, the lack of evaluation of habitual activity levels and pubertal status may be considered as a limitations.

5. Conclusion

Our results demonstrate an association of the distance achieved in the MST with the risk of hospitalization in youths with CF. Patients with reduced exercise capacity presented a 3.9 times increase in the relative risk for hospitalization. Considering the importance of hospitalizations in the prognosis of CF and the low cost and ease of application of the MST, the present data support the importance of regular assessment of exercise capacity in the management of patients with CF.

Declaration of Competing Interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

The authors have no conflict of interests to declare.

CRedit authorship contribution statement

Márcio Vinícius Fagundes Donadio: Conceptualization, Formal analysis, Investigation, Supervision, Resources, Funding acquisition, Writing - review & editing. **Fernanda Maria Vendrusculo:** Conceptualization, Methodology, Formal analysis, Investigation, Data curation, Writing - original draft. **Natália Evangelista Campos:** Methodology, Investigation, Data curation, Writing - review & editing. **Nicolas Acosta Becker:** Methodology, Investigation, Data curation, Writing - review & editing. **Ingrid Silveira de Almeida:** Methodology, Investigation, Data curation, Writing - review & editing. **Karen Caroline Vasconcelos Queiroz:** Methodology, Investigation, Data curation, Writing - review & editing. **Luanna Rodrigues Leite:** Methodology, Investigation, Data curation, Writing - review & editing. **Evanirso Silva Aquino:** Investigation, Resources, Data curation, Supervision, Funding acquisition, Writing - review & editing.

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Supplementary materials

Supplementary material associated with this article can be found, in the online version, at doi:10.1016/j.jcf.2020.12.014.

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